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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.			
10/567,763	06/09/2006	Alan Berry	DSM_17_US	3881			
	7590 11/17/200 OCIATES LLC	EXAMINER					
75 MAIN STRI	EET , SUITE 301	ROBINSON, HOPE A					
MILLBURN, N	IJ U/U41		ART UNIT PAPER NUMBI				
		1652					
			MAIL DATE	DELIVERY MODE			
			11/17/2009	PAPER			

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s) BERRY ET AL.		
Supplemental	10/567,763			
Notice of Allowability	Examiner	Art Unit		
	HOPE A. ROBINSON	1652		

	HOPE A. ROBINSON	1652	
The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS nerewith (or previously mailed), a Notice of Allowance (PTOL-85)  NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED in this or other appropriate communica GHTS. This application is subje	application. If not included ation will be mailed in due co	d ourse. <b>THIS</b>
1. This communication is responsive to <u>8/20/09</u> .			
2. 🔀 The allowed claim(s) is/are <u>9-10 and 43-44</u> .			
3. Acknowledgment is made of a claim for foreign priority un  a) All b) Some* c) None of the:  1. Certified copies of the priority documents have  2. Certified copies of the priority documents have  3. Copies of the certified copies of the priority documents have  International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" on oted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  4. A SUBSTITUTE OATH OR DECLARATION must be submitined in the submitined process of the priority documents have submitined by the Notice of Draftspers of the priority documents have submitined by the Notice of Draftspers of the priority documents have submitined by the Notice of Draftspers of the priority documents have submitined by the Notice of Draftspers of the priority documents have submitined by the Notice of Draftspers of the priority documents have submitined by the Notice of Draftspers of the priority documents have submitined by the Notice of Draftspers of the priority documents have submitined by the Notice of Draftspers of the priority documents have submitined by the Notice of Draftspers of the priority documents have submitined by the Notice of Draftspers of the priority documents have submitined by the Notice of Draftspers of the Priority documents have submitined by the Notice of Draftspers of the Priority documents have submitined by the Notice of Draftspers of the Priority documents have submitined by the Notice of Draftspers of the Priority documents have submitined by the Notice of Draftspers of the Priority documents have submitined by the Notice of Draftspers of the Priority documents have submitined by the Notice of Draftspers of the Priority documents have submitined by the Notice of Draftspers of the Priority documents have submitined by the Notice of Draftspers of the Priority documents have submitined by the Notice of Draftspers of the Priority documents have submitined by the Notice of Drafts	been received. been received in Application Note the communication to file a received in the ENT of this application.  tted. Note the attached EXAMIN is reason(s) why the oath or decitive to be submitted. on's Patent Drawing Review (Patent Amendment / Comment or in the	this national stage application of the control of the complying with the requirement of the complying with the requirement of the complete control of the co	uirements OTICE OF
each sheet. Replacement sheet(s) should be labeled as such in the deposit of and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT I	ne header according to 37 CFR 1. sit of BIOLOGICAL MATERIA	121(d). AL must be submitted. No	•
Attachment(s)  1. Notice of References Cited (PTO-892)  2. Notice of Draftperson's Patent Drawing Review (PTO-948)  3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  4. Examiner's Comment Regarding Requirement for Deposit of Biological Material  /Hope A. Robinson/ Primary Examiner, Art Unit 1652	5. ☐ Notice of Inform 6. ☐ Interview Summ Paper No./Mail 7. ☒ Examiner's Ame 8. ☐ Examiner's Stat 9. ☐ Other	nary (PTO-413), Date	/ance

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#### SUPPLEMENTAL EXAMINER'S AMENDMENT

1. An Examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

- 2. Authorization of this Examiner's amendment was given in a telephone interview with Mr. Jay Pattumudi on November 5, 2009 and November 12, 2009.
- 3. The Claims have been amended as follows:

Please cancel claims 1-8 and 11-42 without prejudice.

9. (Currently amended) An isolated polypeptide <u>comprising the amino acid sequence of SEQ ID NO:16</u>, wherein the polypeptide has [[having]] L-sorbosone dehydrogenase activity. [[comprising: (i) SEQ ID NO: 16; or (ii) an amino acid sequence derivable from SEQ ID NO:16 by addition, deletion, insertion, or a conservative substitution of one or more amino acids in the amino acid sequence of SEQ ID NO: 16, wherein the conservative substitution is selected from the following substitution: Ala to Val/Leu/Ile, Arg to Lys/Gln/Asn, Asn to Gln/His/Lys/Arg, Asp to Glu, Cys to Ser, Gln to Asn, Glu to Asp, Gly to Pro/Ala, His to Asn/Gln/Lys/Arg, Ile to Leu/Val/Met/Ala/Phe/nor Leu, Lys to

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Arg/Gln/Asn, Met to Leu/Phe/Ile, Phe to LeuVal/Ile/Ala/Tyr, Pro to Ala, Ser to Thr, Thr to Ser, Trp to Tyr/Phe, Tyr to Trp/Phe/Thr/Ser, and Val to Ile/Leu/Met/Phe/Ala/nor Leu.]]

- 10. (Currently amended) The isolated polypeptide [[according to]] of claim 9, wherein the [[isolated]] polypeptide [[sequence is]] consists of SEQ ID NO: 16, and has L-sorbosone dehydrogenase activity.
- 43. (New) An isolated polypeptide comprising the amino acid sequence of SEQ ID NO:2, wherein the polypeptide has L-sorbosone dehydrogenase activity.
- 44. (Currently Amended) <u>The [[An]]</u> isolated polypeptide [[consisting of the amino acid sequence of SEQ ID NO: 2, wherein the polypeptide has]] <u>of claim 43, wherein the polypeptide consists of SEQ ID NO: 2, and has L-sorbosone dehydrogenase activity.</u>
- 4. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance".

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#### Conclusion

5. Claims 9-10 and 43-44 are allowable.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to HOPE A. ROBINSON whose telephone number is (571)272-0957. The examiner can normally be reached on Monday-Friday 9:00-6:30. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Wang, can be reached at (571) 272-0811. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Hope A. Robinson/

Primary Examiner, Art Unit 1652

# Issue Classification



Application/Control No.	Applicant(s)/Patent Under Reexamination
10567763	BERRY ET AL.
Examiner	Art Unit
HOPE A ROBINSON	1652

	ORIGINAL					INTERNATIONAL CLASSIFICATION							ON	
	CLASS SUBCLASS 530 350							С	LAIMED	NON-CLAIMED				
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	С	ROSS REFI	ERENCE(	S)										
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435	126													
514	12													
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⊠	Claims renumbered in the same order as presented by applicant					СР	'A [	] T.D.	[	☐ R.1.	47				
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
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NONE			ns Allowed:
(Assistant Examiner)	(Date)		•
/HOPE A ROBINSON/ Primary Examiner.Art Unit 1652	11/13/09	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	NONE



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## **BIB DATA SHEET**

#### **CONFIRMATION NO. 3881**

SERIAL NUM	IBER	FILING O	r 371(c) F		CLASS	GRO	UP ART	UNIT	ATTO	ORNEY DOCKET NO.
10/567,76	33	06/09/2			435		1652			DSM_17_US
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• •	lication i	s a 371 of P0	CT/CH04/0	0511						
** <b>FOREIGN A</b> EUROPE					* 377.0 08/14/2003					
** <b>IF REQUIRE</b> 05/03/20		EIGN FILING	G LICENS	E GRA	ANTED **					
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TITLE										
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					☐ Other					
							☐ Credi	t		

## **WEST Search History for Application 10567763**

Creation Date: 2009110515:17

(polypeptide and encoding DNA)USPT OR YES 12-10-2008
((polypeptide and encoding DNA) ) and (L-sorbosone)USPT OR YES 12-10-2008
((polypeptide and encoding DNA) and (L-sorbosone) ) aehydrogenase)nd (L-sorbosone dUSPT OR YES 12-10-2008

((polypeptide and encoding DNA) and (L-sorbosone) ) and (L-sorbosone dehydrogenase activity)USPT OR YES 12-10-2008

berry.in.PGPB OR YES 12-10-2008

lee.in.PGPB OR YES 12-10-2008

mayer.in.PGPB OR YES 12-10-2008

shinjoh.in.PGPB OR YES 12-10-2008

(shinjoh.in.) and (mayer.in.) PGPB OR YES 12-10-2008 (shinjoh.in. and mayer.in.) and (lee.in.) PGPB OR YES 12-10-2008

DNA and vectorUSPT OR YES 12-19-2008

### **Interference Searches**

Query	DB	Op.	Plur.	Thes.	Date
shinjoh.in.	PGPB, USPT, UPAD	OR	YES		11-05-2009
mayer.in.	PGPB, USPT, UPAD	OR	YES		11-05-2009
lee.in.	PGPB, USPT, UPAD	OR	YES		11-05-2009
(lee.in.) and (mayer.in.)	PGPB, USPT, UPAD	OR	YES		11-05-2009
(lee.in. and mayer.in.) and (shinjoh.in.)	PGPB, USPT, UPAD	OR	YES		11-05-2009

#### **Prior Art Searches**

Query	DB	Op.	Plur.	Thes.	Date
(l-sorbosone dehydrogenase)	USPT	OR	YES		11-05-2009
((l-sorbosone dehydrogenase) ) and (polypeptide)	USPT	OR	YES		11-05-2009
(L-sorbosone dehydrogenase same polypeptide)	USPT	OR	YES		11-05-2009
berry.in.	USPT	OR	YES		11-05-2009
lee.in.	USPT	OR	YES		11-05-2009
mayer.in.	USPT	OR	YES		11-05-2009
shinjoh.in.	USPT	OR	YES		11-05-2009
(shinjoh.in.) and (mayer.in.)	USPT	OR	YES		11-05-2009

(lee.in.) and (mayer.in.)	USPT	OR	YES	11-05-2009
(lee.in. and mayer.in.) and ((L-sorbosone dehydrogenase same polypeptide))	USPT	OR	YES	11-05-2009

Prior Art Searches 2

## Search Notes



App	lica	tion/	Cont	rol No
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10567763

Applicant(s)/Patent Under Reexamination

BERRY ET AL.

**Examiner** 

HOPE A ROBINSON

Art Unit

1652

### **SEARCHED**

Class	Subclass	Date	Examiner
NONE		11/5/09	HAR

SEARCH NOTES				
Search Notes	Date	Examiner		
STIC sequence search in published and unpublished patents	10/28/09	HAR		
STIC sequence	10/30/09	HAR		
Palm Expo inventor names searched	11/5/09	HAR		
WEST(attached)	11/5/09	HAR		

INTERFERENCE SEARCH				
Class	Subclass	Date	Examiner	
WEST Interference search		11/5/09	HAR	
STIC pending search		10/29-10/30	HAR	

U.S. Patent and Trademark Office Part of Paper No.: 20091113